| 1 2005 | U.S. Paten | it and Trademark Office | ise through 07/31/2006. OMB 0651-0031 e; U.S. DEPARTMENT OF COMMERCE | | | | | |
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| Under the Paperwork Reduction Act of 1995, no p | ersons are required to respond to a collection Application Number | on of information unless 09/995,723 | s it displays a valid OMB control number. | | | | | |
| TRANSMITTAL | Filing Date | 11/29/2001 | | | | | | |
| FORM | First Named Inventor | Yu Kakihana 3623 | | | | | | |
| (to be used for all correspondence after initial filing) | Art Unit | | | | | | | |
| · | Examiner Name | Catherine M. Colon | | | | | | |
| Total Number of Pages in This Submission 4 | Attorney Docket Number | 381HI/50631 | | | | | | |
| ENCLOSURES (Check all that apply) | | | | | | | | |
| Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s) | to T App of A App (App (App Pro) Star | er Allowance communication Fechnology Center (TC) Deal Communication to Board Appeals and Interferences Deal Communication to TC Deal Notice, Brief, Reply Brief) Deprietary Information The Letter Der Enclosure(s) (please Detricted in the provided in the | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | | |
| Firm Robert L. Grabarek, Jr. or Individual name | Reg. No. 40,625 | | | | | | | |
| Signature Signature Date 03/29/2005 | | | | | | | | |
| CERT | TIFICATE OF TRANSMISSION | I/MAILING | | | | | | |
| I hereby certify that this correspondence is being sufficient postage as first class mail in an envelop the date shown below. | facsimile transmitted to the USPTO or | deposited with the | | | | | | |
| Typed or printed name Maria N. Sausedo | | | | | | | | |
| Signature Phu | l | | Date 03/29/2005 | | | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Beenwork Reduction Act of 1995, no nersons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 09/995,723 **Application Number** RANSMITTAL Filing Date 11/29/2001 For FY 2005 Yu Kakihana First Named Inventor **Examiner Name** Catherine M. Colon Applicant claims small entity status. See 37 CFR 1.27 Art Unit 3623 TOTAL AMOUNT OF PAYMENT 0.00 Attorney Docket No. 381HI/50631 METHOD OF PAYMENT (check all that apply) None Check Credit Card Money Order Other (please identify): ✓ Deposit Account Deposit Account Number: <u>05-1323</u> Deposit Account Name: Crowell & Moring LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES** Small Entity **Small Entity** Small Entity Fees Paid (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 100 250 200 Design 100 100 50 130 65 Plant 200 300 160 100 150 80 Reissue 300 150 500 600 250 300 200 Provisional 100 0 2. EXCESS CLAIM FEES Small Entity Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 200 100 Each independent claim over 3 (including Reissues) Multiple dependent claims 360 180 Multiple Dependent Claims Total Claims **Extra Claims** Fee (\$) Fee Paid (\$) Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Extra Claims Indep. Claims Fee (\$) Fee Paid (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3. If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Extra Sheets Total Sheets (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge):

| SUBMITTED BY | ^ • | 0 | ١ | | |
|-------------------|-----------------|-----------|-----|--|------------------------|
| Signature | Rowh J | Juster | 7 I | Registration No. Attorney/Agent) 40,625 | Telephone 949-263-8400 |
| Name (Print/Type) | Robert L. Graba | arek, Jr. | / | | Date 03/29/2005 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.: 09/995,723

Confirmation No. 1849

Applicant

: Yu Kakihana, et al.

Filed

: 11/29/2001

TC/A.U.

: 3623

Examiner

: Catherine M. Colon

Docket No.

: 381HI/50631

Customer No.

: 23911

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

STATUS REQUEST

Sir:

Undersigned's records indicate that no substantive correspondence has been received in reference to the above-identified application. Please advise the undersigned of the status of the application, specifically as to when an Office Action can be expected.

It would be appreciated if the undersigned were telephoned in the event there are any questions related to this Request or the application in general.

Respectfully submitted,

CROWELL & MORINGALLP

Dated: March 29, 2005

Robert L. Grabarek, Jr.

Reg. No. 40,625

Tel.: (949) 263-8400 (Pacific Coast)

Intellectual Property Group

P.O. Box 14300

Washington, D.C. 20044-4300

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)

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Mul 03/29/2005

Maria N. Sausedo

Date